

# Optimal Management of Localized Pancreatic Cancer: Sequence of Therapy and “Resectability”

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Department of General Surgery

# Disclosures:

- None!

# Topics at hand

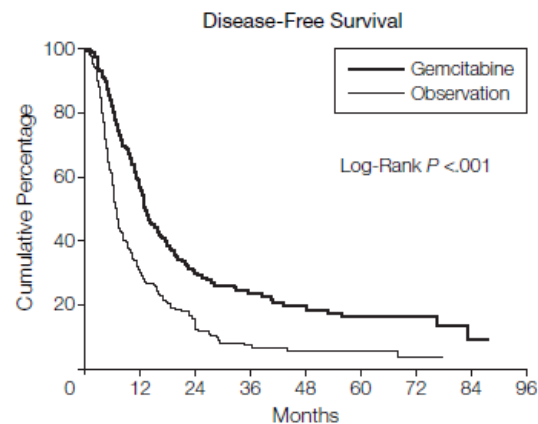
- **Evolution of Systemic Therapy in Pancreatic Cancer**
- Staging and Resectability
- Sequence of Therapy

# Systemic Therapy

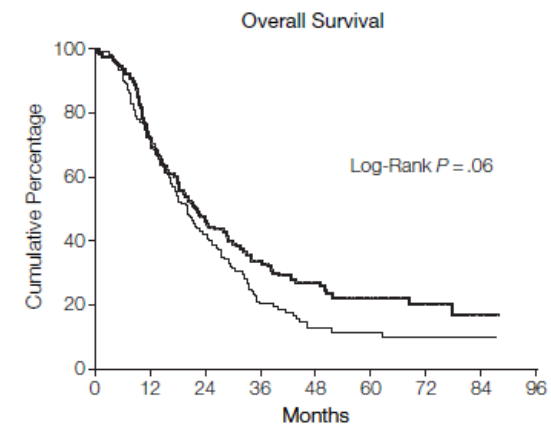
- Evolution of Systemic Therapy – This is not a long slide deck
  - Conko 01 – Gem -- 2008
  - ESPAC-4 - Gem-Cap -- 2017
  - FOLFIRINOX – Metastatic, LA, to resectable
  - Gem-Nab?
  - Gem-Cis-Nab?

# CONKO-001

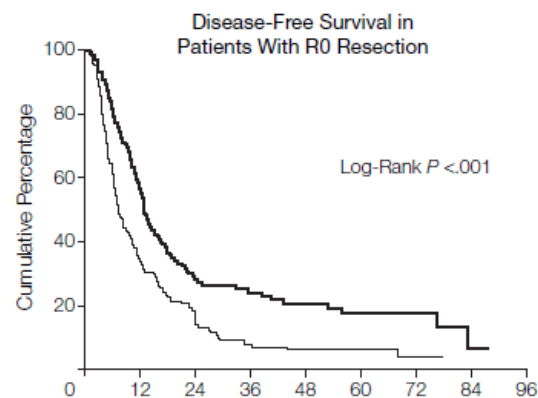
- Published 2008
- Randomized post resection/recovery
- R0 and R1 patients
  - 19% R1 (gem) vs 15% (obs)



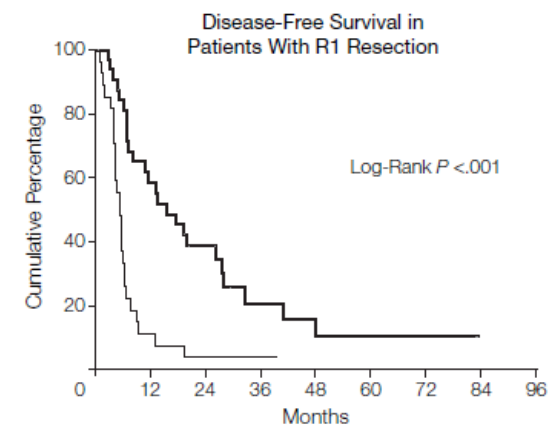
No. at Risk								
Gemcitabine	179	96	43	25	17	11	8	1
Observation	175	52	24	10	6	6	2	0



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Table 1

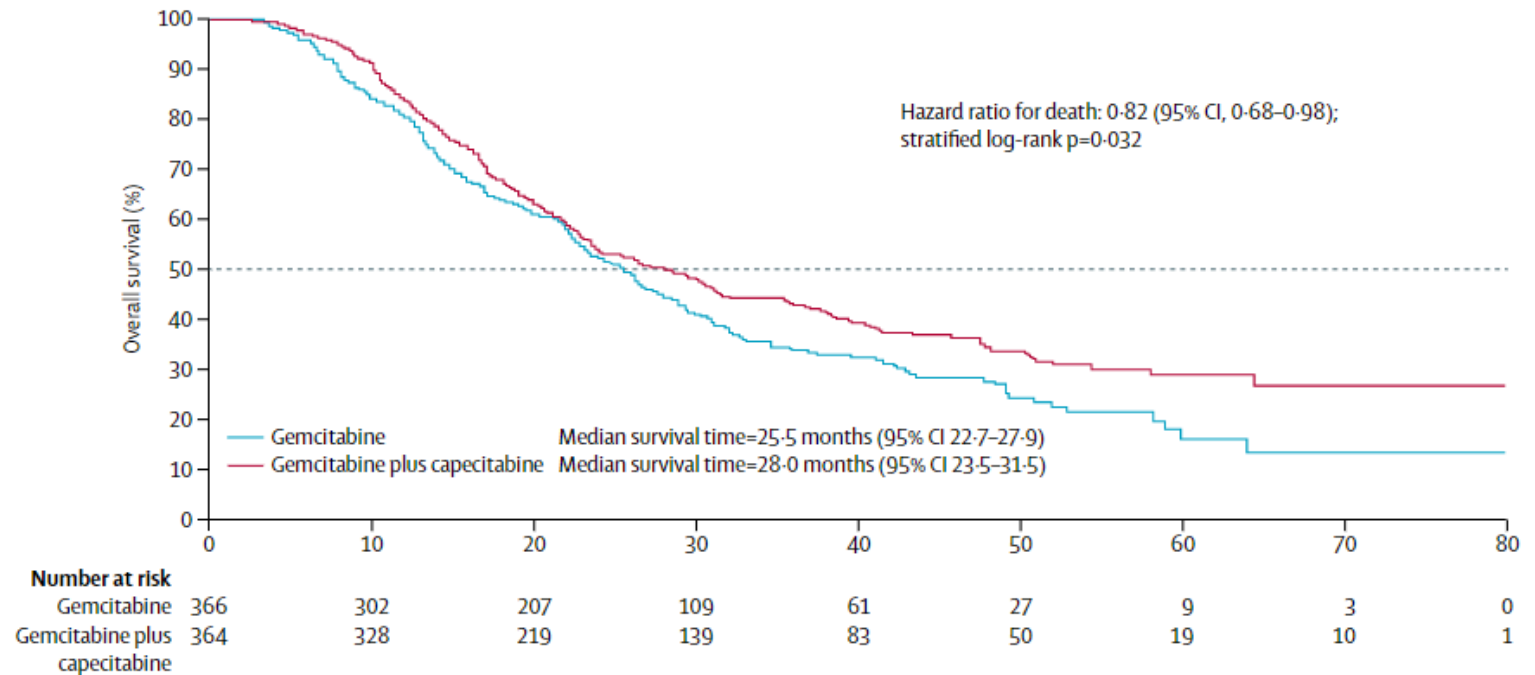
Overall survival data from older prospective, randomized trials of adjuvant therapy in resected pancreas cancer

Trial	N	Randomization	Overall Survival (mo)	P	Classification
CONKO-001	368	Chemotherapy (gemcitabine) vs observation	22.1 vs 20.1 Long follow-up: 22.8 vs 20.2	.06 .01	1a
GITSG	43	Observation or radiation/ bolus 5-FU	20 vs 11	Not reported	1a
ESPAC-1	541	Chemoradiation (5-FU, 20 Gy) vs no chemoradiation	15.5 vs 16.1	.24	1a
		Chemotherapy vs observation	19.7 vs 14.0	.0005	
EORTC 40,891	114	Chemoradiation (5-FU 1 40 Gy EBRT) vs observation	17.1 vs 12.6	.99	1a
RTOG 9704	451	Gemcitabine and 5-FU 1 50.4 Gy EBRT vs 5-FU 1 50.4 Gy EBRT	20.5 vs 16.9	.05	1a



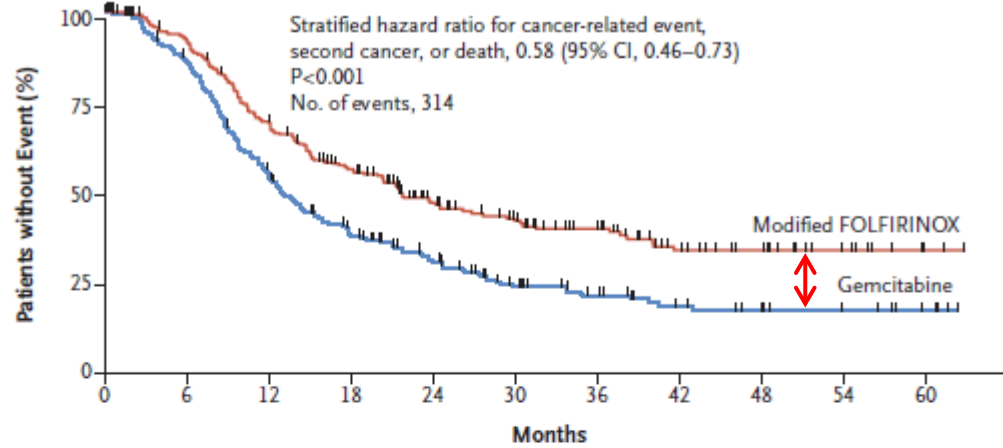
# ESPAC-4

- Published 2017, European study → 10 year gap!
- Randomized post resection/recovery
- R0 and R1 patients → 60% R1!
  - 46% local recurrence rate!



# FOLFIRINOX or Gemcitabine as Adjuvant Therapy for Pancreatic Cancer

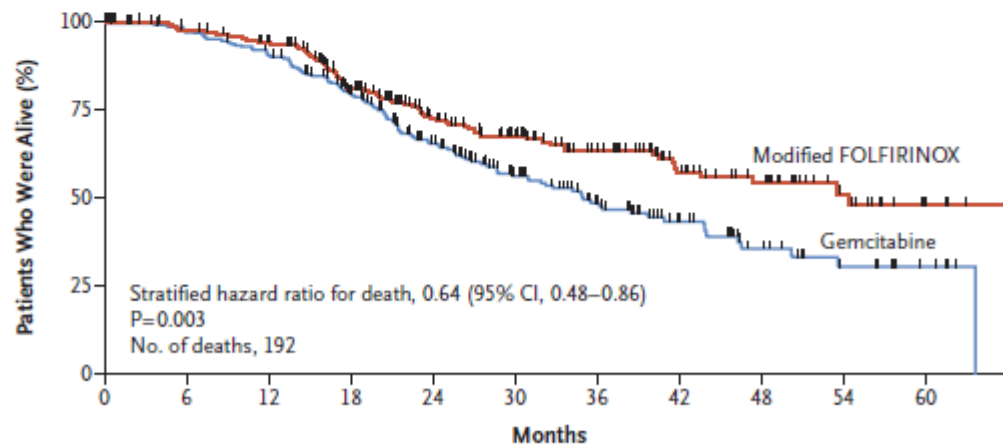
## A Disease-free Survival



No. at Risk  
Modified FOLFIRINOX  
Gemcitabine

247	210	156	118	80	60	46	29	21	11	2
246	205	127	85	59	34	24	15	10	7	3

## B Overall Survival



No. at Risk  
Modified FOLFIRINOX  
Gemcitabine

247	223	210	165	119	91	68	46	32	16	4
246	233	215	171	120	81	55	33	18	9	4

Subgroup	Modified FOLFIRINOX (N=247) no. of events/total no. of patients	Gemcitabine (N=246) no. of events/total no. of patients	Unstratified Hazard Ratio (95% CI)	P Value
Sex				0.42
Male	78/142	96/135	0.68 (0.50–0.92)	
Female	56/105	84/111	0.56 (0.40–0.78)	
Age				0.88
<65 yr	83/152	103/140	0.61 (0.46–0.82)	
≥65 yr	51/95	77/106	0.63 (0.44–0.90)	
WHO performance-status score				0.10
0	61/122	96/127	0.51 (0.37–0.71)	
1	73/123	80/115	0.77 (0.56–1.06)	
Diabetes				0.59
No	100/183	123/177	0.66 (0.50–0.86)	
Yes	33/62	52/64	0.55 (0.35–0.85)	
Tumor location				0.89
Head	105/193	129/175	0.62 (0.48–0.80)	
Other	28/53	47/67	0.62 (0.39–0.98)	
Tumor grade				0.69
Well differentiated	32/70	58/79	0.52 (0.34–0.81)	
Moderately differentiated	75/124	91/125	0.69 (0.51–0.93)	
Poorly differentiated or undifferentiated	21/35	23/29	0.62 (0.34–1.13)	
Primary tumor status				0.82
pT1 or pT2	16/31	16/25	0.67 (0.34–1.34)	
pT3 or pT4	118/216	164/221	0.62 (0.49–0.79)	
Nodal status				0.10
pN0	25/55	33/61	0.89 (0.53–1.49)	
pN1	109/192	147/185	0.54 (0.42–0.69)	
Tumor stage				0.31
IA or IB	3/12	8/14	0.36 (0.10–1.38)	
IIA or IIB	127/226	167/226	0.64 (0.50–0.80)	
III or IV	4/9	5/6	0.07 (0.01–0.61)	
Status of surgical margins				0.15
R0	73/148	88/134	0.72 (0.53–0.98)	
R1	61/99	92/112	0.52 (0.37–0.72)	
Superior-mesenteric-vein resection				0.29
No	122/228	161/221	0.61 (0.48–0.77)	
Yes	12/19	19/25	0.92 (0.44–1.91)	
Portal-vein resection				0.86
No	112/215	145/204	0.62 (0.49–0.80)	
Yes	22/32	35/42	0.64 (0.37–1.11)	
Postoperative CA 19-9 level				0.85
≤90 U/ml	123/231	166/226	0.61 (0.48–0.77)	
>90 U/ml	11/16	14/20	0.74 (0.33–1.64)	
Early stopping of treatment				0.49
No	83/158	137/192	0.56 (0.42–0.73)	
Yes	51/80	42/51	0.53 (0.35–0.81)	
Overall	134/247	180/246	0.62 (0.49–0.77)	



## FOLFIRINOX or Gemcitabine as Adjuvant Therapy for Pancreatic Cancer

- Extraordinarily well selected population
- Randomized after recovery from surgery
- CA 19-9 <180
- Are we really achieving mOS 54 months in the adjuvant setting in the real world?

# What else?

- Gem-Nab – works metastatic, no strong data for adjuvant/periop
  - AFACT – adjuvant Gem-Nab, equally well selected patients – no OS benefit
  - AGITG GAP – periop Gem-Nab – mOS 23 months, consistent with earlier trials
- CONKO-005 – adjuvant Gem-Erlotinib – no OS benefit
- Gem-Cis-Nab – active in biliary tract cancers, 70+% response in metastatic disease.

# Topics at hand

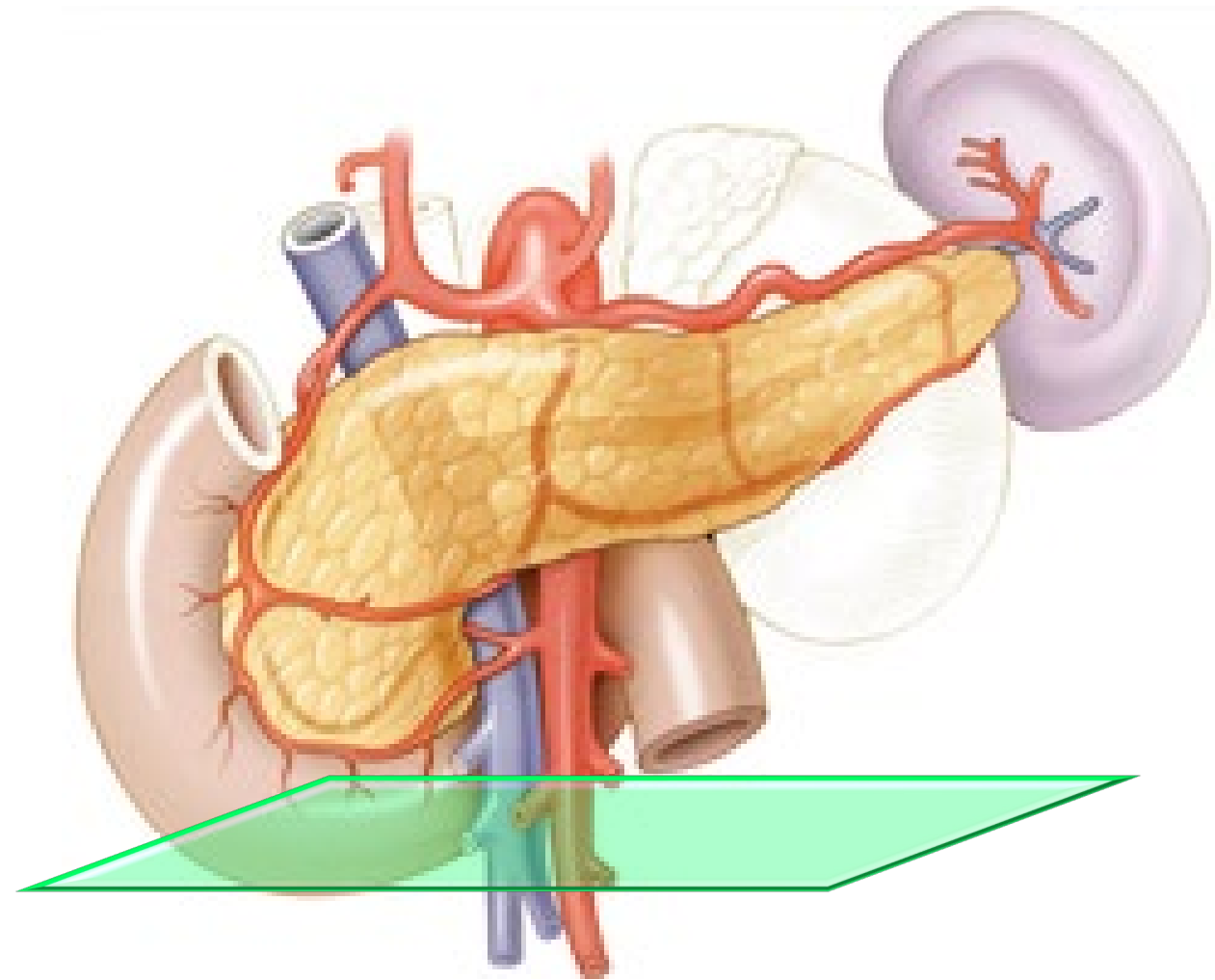
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- **Staging and Resectability**
- Sequence of Therapy

“All I want to know is, what stage am I?”

# “Resectability” trumps TNM

## Standard Terminology

- Resectable
- Borderline Resectable
- ~~Locally Advanced~~



# Resectability, NCCN



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## NCCN Guidelines Version 1.2021 Pancreatic Adenocarcinoma

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### CRITERIA DEFINING RESECTABILITY STATUS AT DIAGNOSIS<sup>a</sup>

- Decisions about resectability status should be made in consensus at multidisciplinary meetings/discussions.

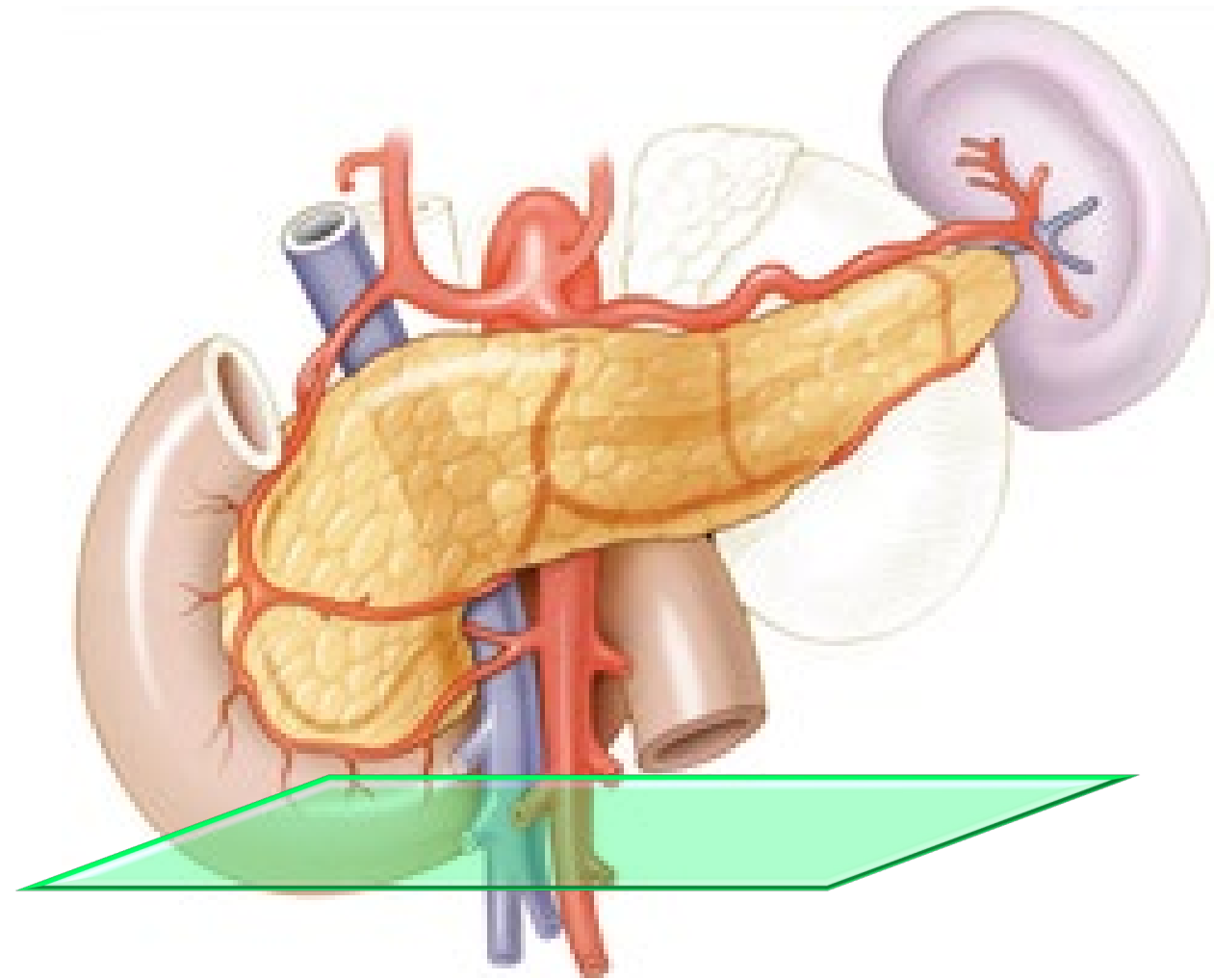
Resectability Status	Arterial	Venous
<b>Resectable</b>	<ul style="list-style-type: none"> <li>• No arterial tumor contact (celiac axis [CA], superior mesenteric artery [SMA], or common hepatic artery [CHA]).</li> </ul>	<ul style="list-style-type: none"> <li>• No tumor contact with the superior mesenteric vein (SMV) or portal vein (PV) or <math>\leq 180^\circ</math> contact without vein contour irregularity.</li> </ul>
<b>Borderline Resectable<sup>b</sup></b>	<p><u>Pancreatic head/uncinate process:</u></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with CHA without extension to CA or hepatic artery bifurcation allowing for safe and complete resection and reconstruction.</li> <li>• Solid tumor contact with the SMA of <math>\leq 180^\circ</math></li> <li>• Solid tumor contact with variant arterial anatomy (ex: accessory right hepatic artery, replaced right hepatic artery, replaced CHA, and the origin of replaced or accessory artery) and the presence and degree of tumor contact should be noted if present, as it may affect surgical planning.</li> </ul> <p><u>Pancreatic body/tail:</u></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with the CA of <math>\leq 180^\circ</math></li> <li>• Solid tumor contact with the CA of <math>&gt;180^\circ</math> without involvement of the aorta and with intact and uninvolved gastroduodenal artery thereby permitting a modified Appleby procedure (some panel members prefer these criteria to be in the locally advanced category).</li> </ul>	<ul style="list-style-type: none"> <li>• Solid tumor contact with the SMV or PV of <math>&gt;180^\circ</math>, contact of <math>\leq 180^\circ</math> with contour irregularity of the vein or thrombosis of the vein but with suitable vessel proximal and distal to the site of involvement allowing for safe and complete resection and vein reconstruction.</li> <li>• Solid tumor contact with the inferior vena cava (IVC).</li> </ul>
<b>Locally Advanced<sup>b,c</sup></b>	<p><u>Head/uncinate process:</u></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with SMA <math>&gt;180^\circ</math></li> <li>• Solid tumor contact with the CA <math>&gt;180^\circ</math></li> </ul> <p><u>Pancreatic body/tail:</u></p> <ul style="list-style-type: none"> <li>• Solid tumor contact of <math>&gt;180^\circ</math> with the SMA or CA</li> <li>• Solid tumor contact with the CA and aortic involvement</li> </ul>	<ul style="list-style-type: none"> <li>• Unreconstructible SMV/PV due to tumor involvement or occlusion (can be due to tumor or bland thrombus)</li> </ul>



# “Resectability” trumps TNM

## Standard Terminology

- Resectable
- **Borderline Resectable**
- ~~Locally Advanced~~





# Resectability, NCCN



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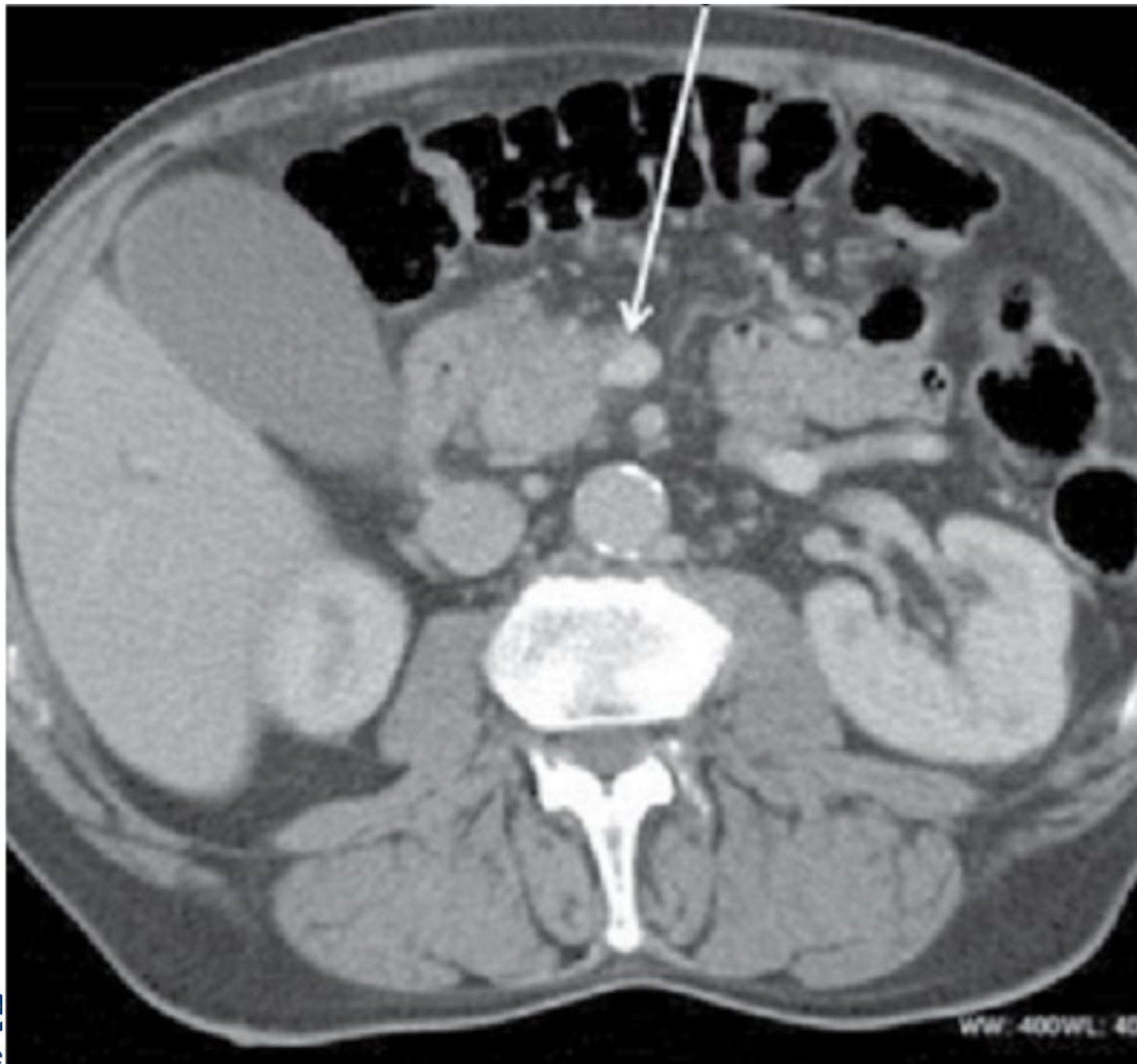
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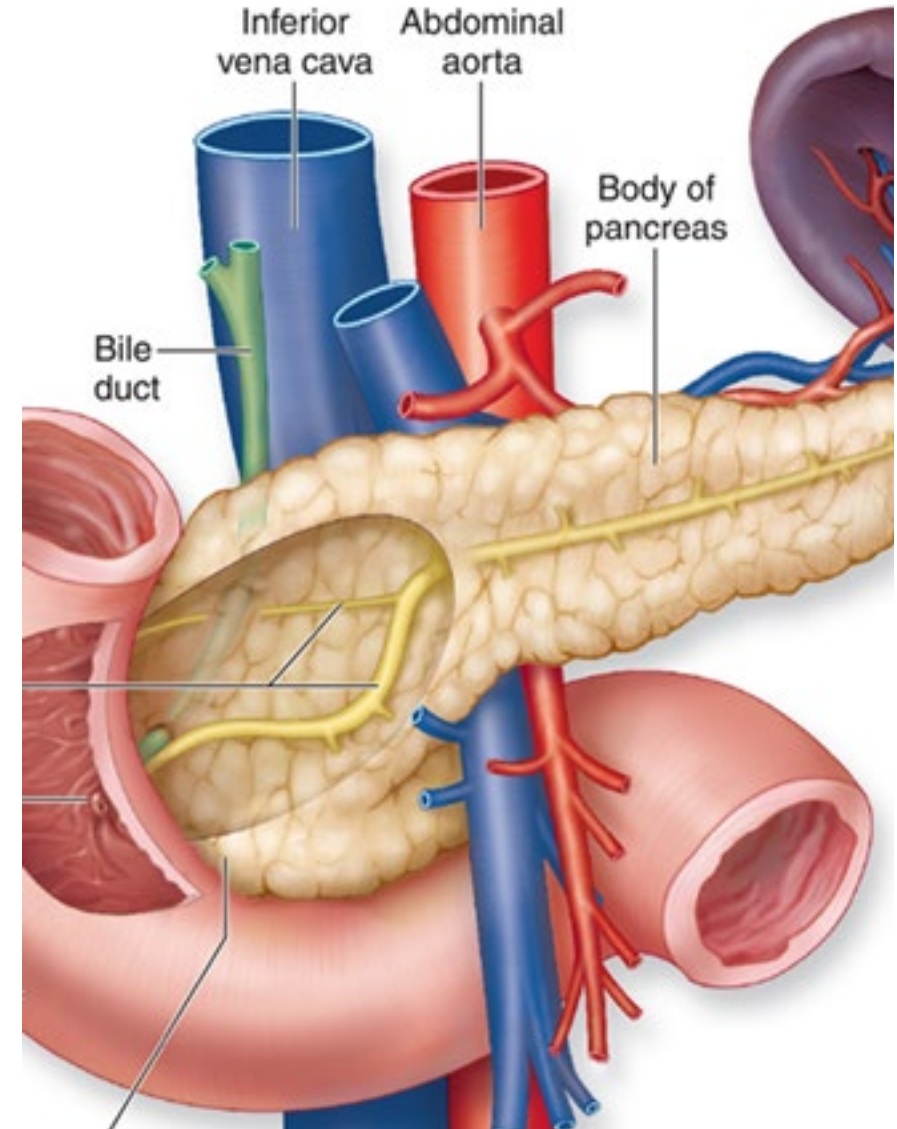


# Topics at hand

- Evolution of Systemic Therapy in Pancreatic Cancer
- Staging and Resectability
- **Sequence of Therapy**

# Does resectability influence sequence of therapy?

- Resectable
  - Tumor is not touching important vessels
  - Neoadj or Upfront Surgery?
- Borderline resectable
  - Tumor is touching PV/SMV/HA/CA/SMA
  - Neoadjuvant approach widely accepted





# Neoadjuvant Therapy for Resectable Pancreatic Cancer

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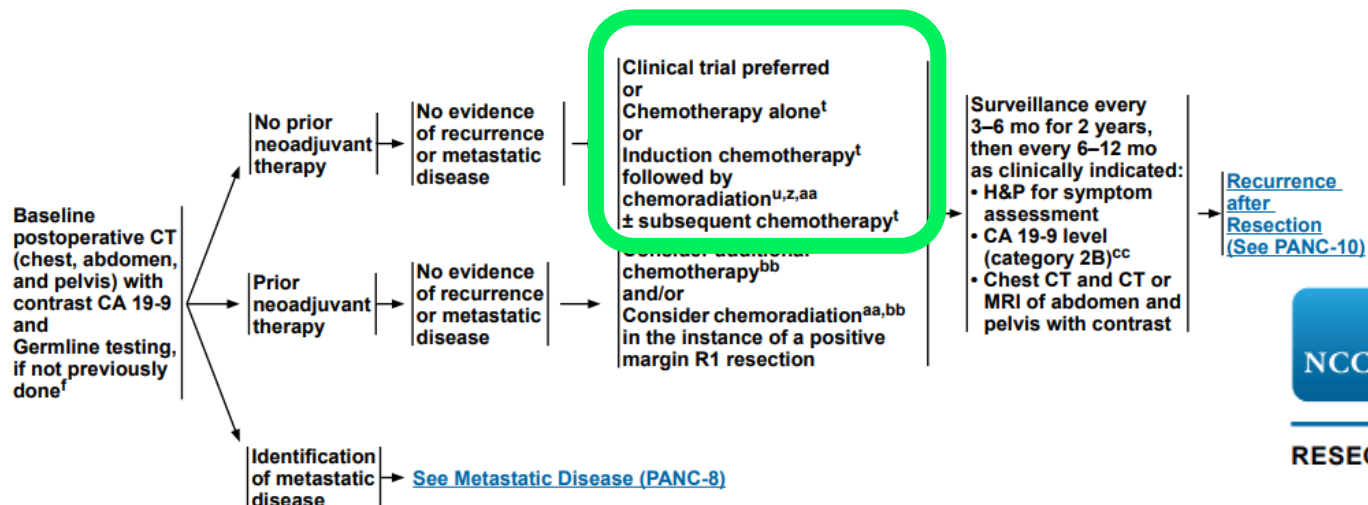
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### POSTOPERATIVE ADJUVANT TREATMENT

### SURVEILLANCE

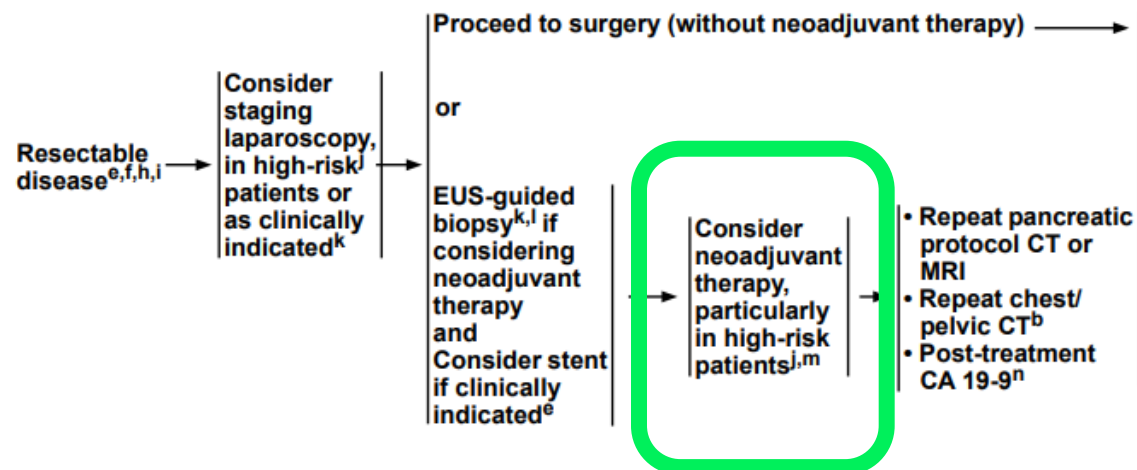
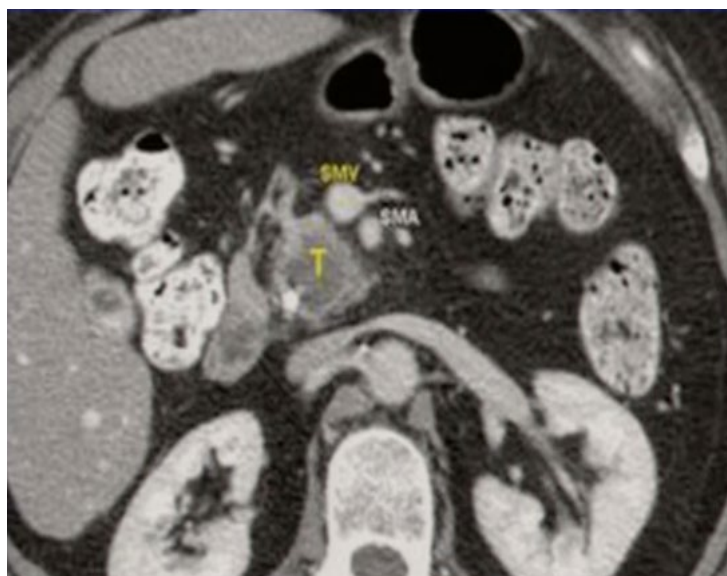


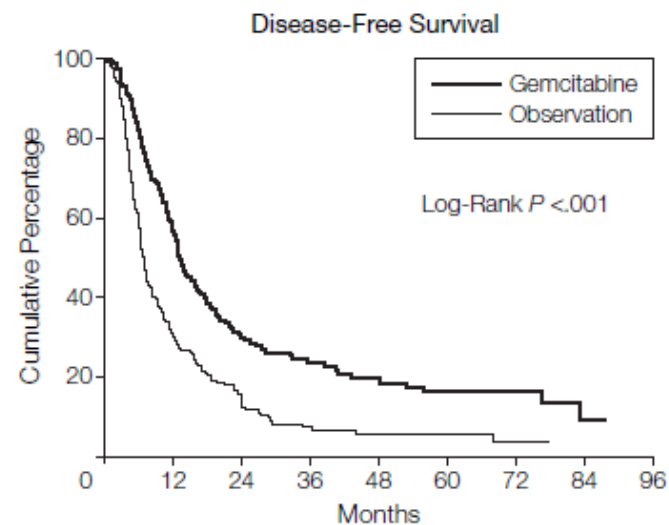
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### RESECTABLE DISEASE

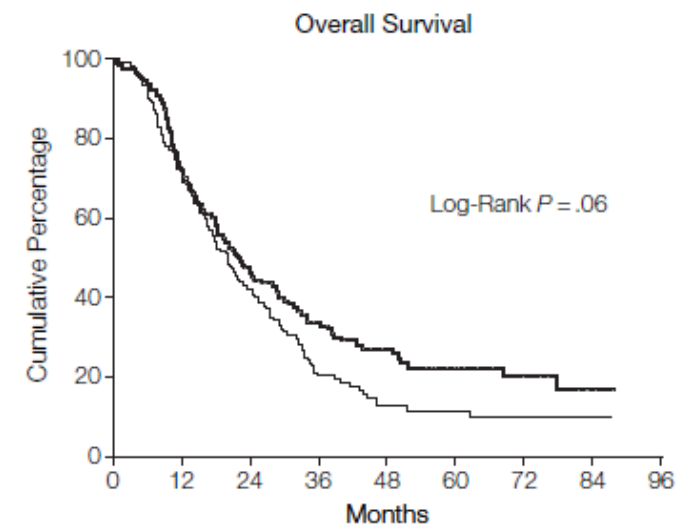
### TREATMENT





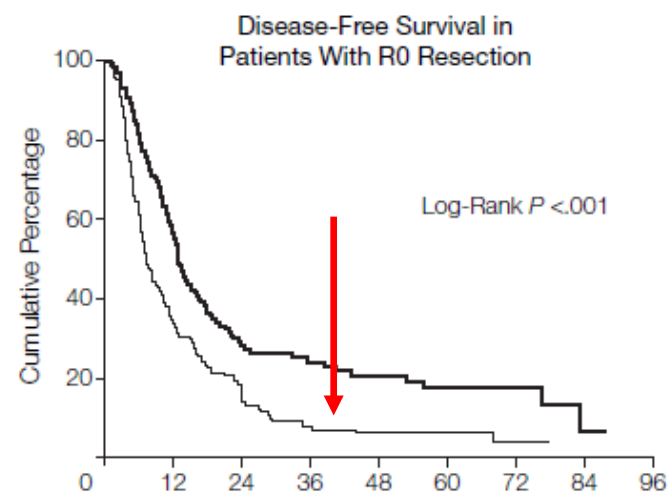
No. at Risk

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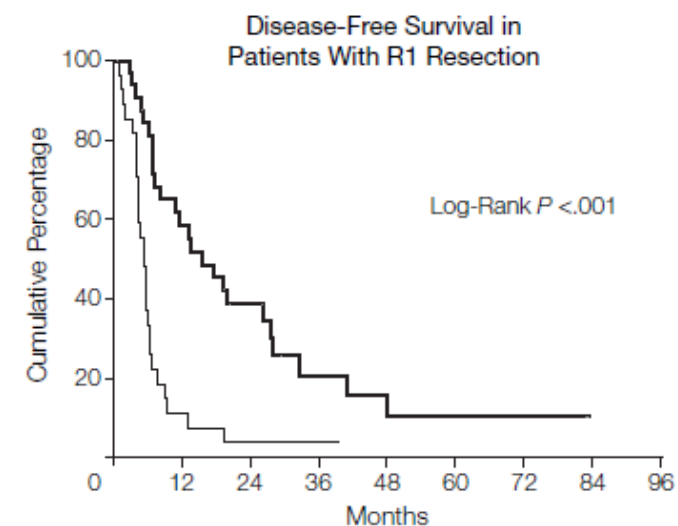
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# Neoadjuvant therapy – Standard of Care?

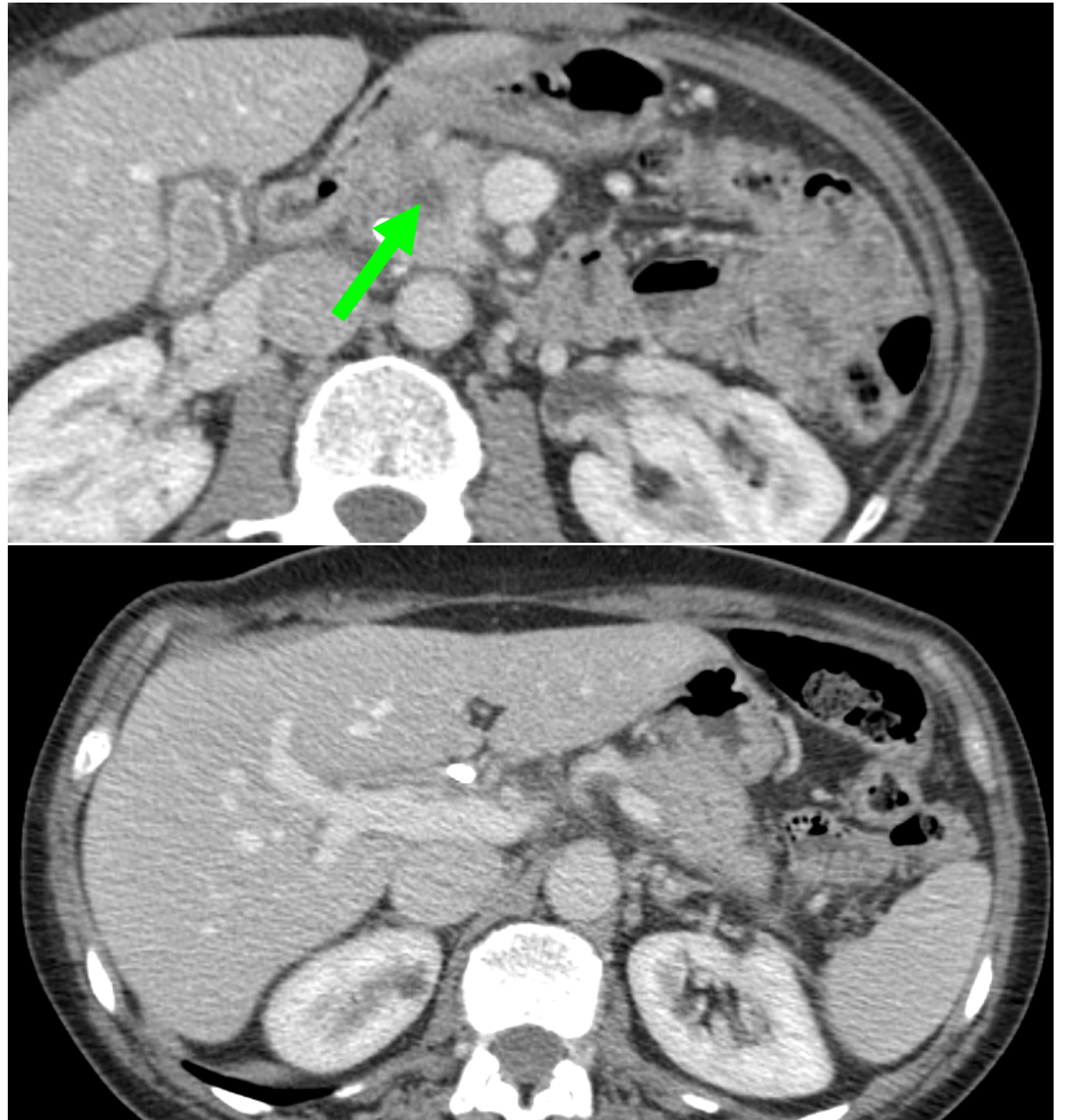
- Giving chemotherapy or radiation prior to resection for patients with local / regional disease
- Merits
  - Front-loading therapy allows for
    - ⊙ Receipt of therapy
    - ⊙ Less toxicity
    - ⊙ In vivo evaluation of response
    - ⊙ Identification of early metastatic disease
    - ⊙ Trial opportunities, measurable disease
    - ⊙ Improvement in patient performance status (Prehabilitation)

# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure
- Treatment sequencing does not matter – can give adjuvant therapy
- Window of resectability may be lost
- Other therapies largely ineffective



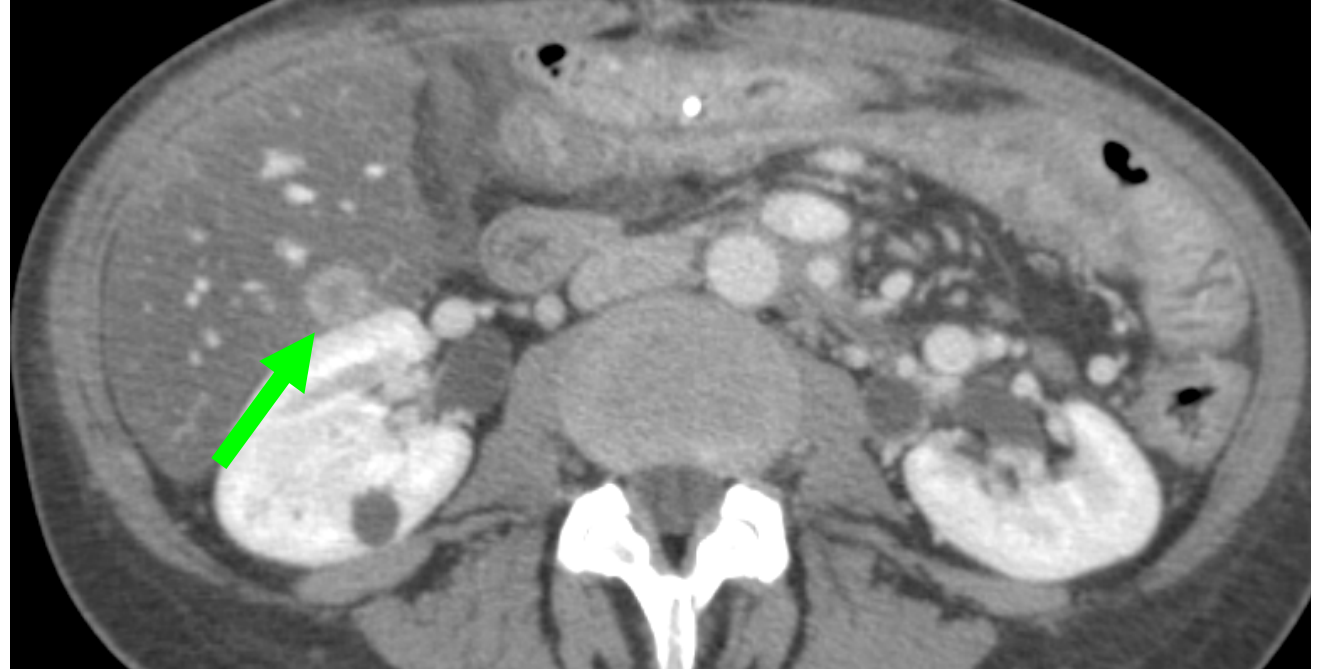
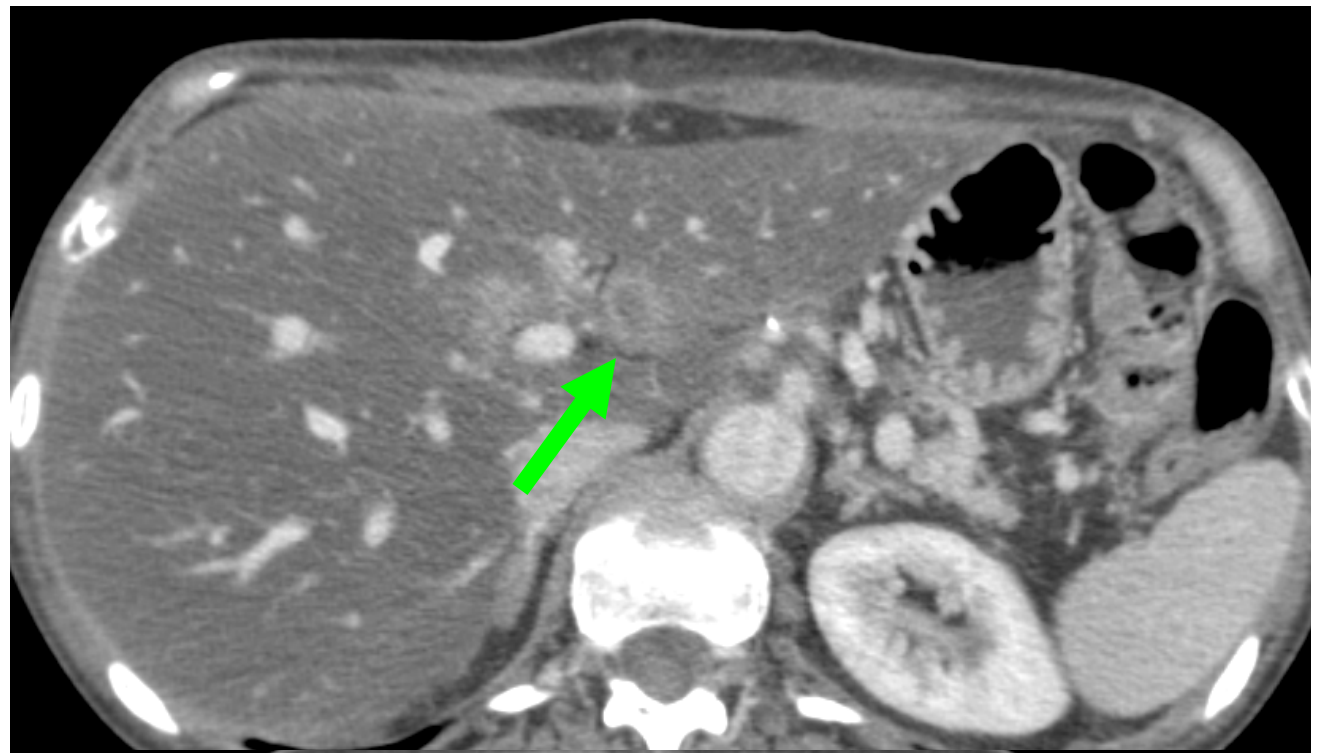
- Healthy 52 y/o female with painless jaundice
- Whipple
  - Uneventful recovery
  - Adenocarcinoma, node (+)

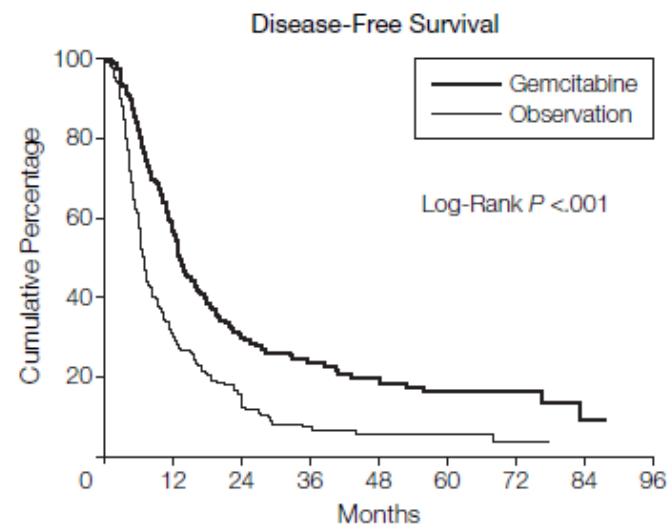


3 Months Later

Biopsy proven liver mets

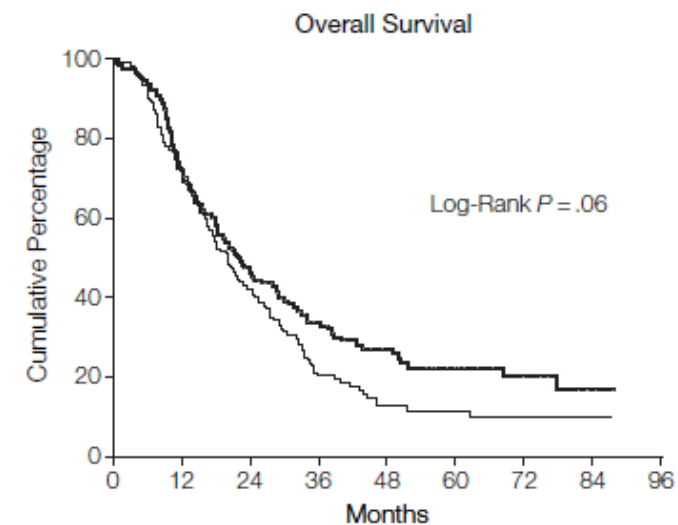
- Zero benefit from major surgery





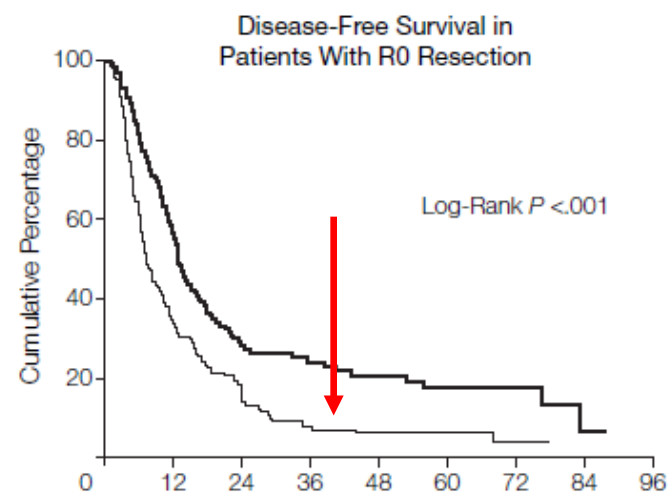
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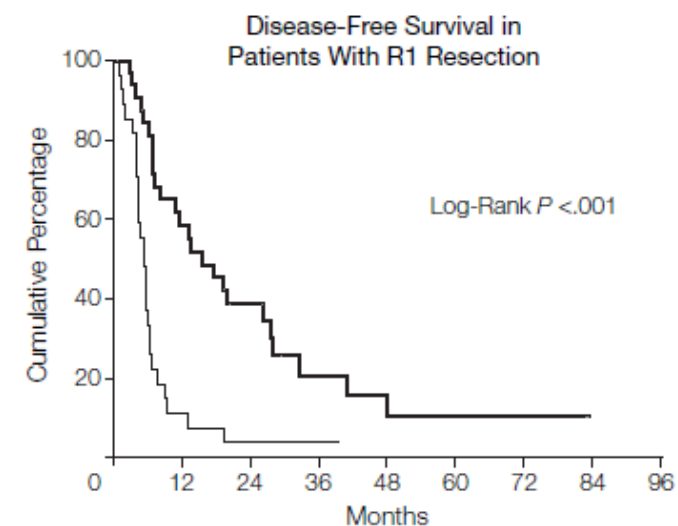
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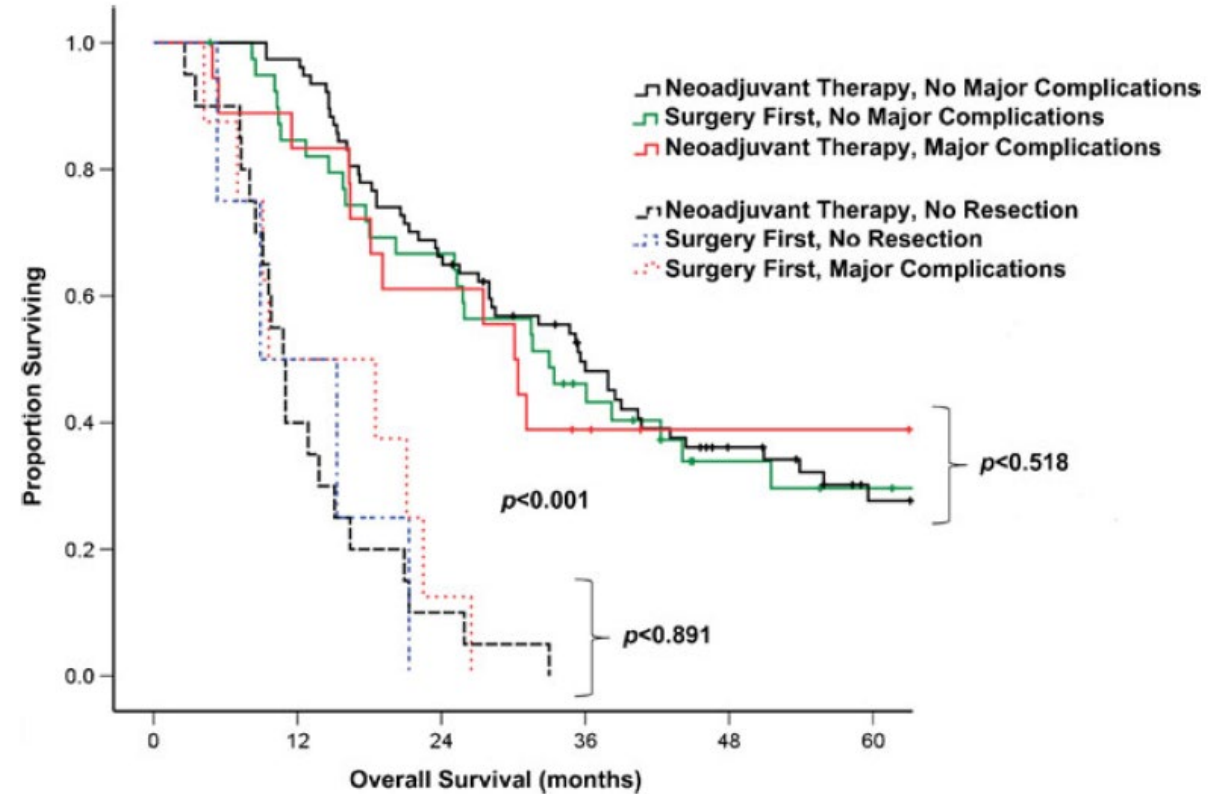
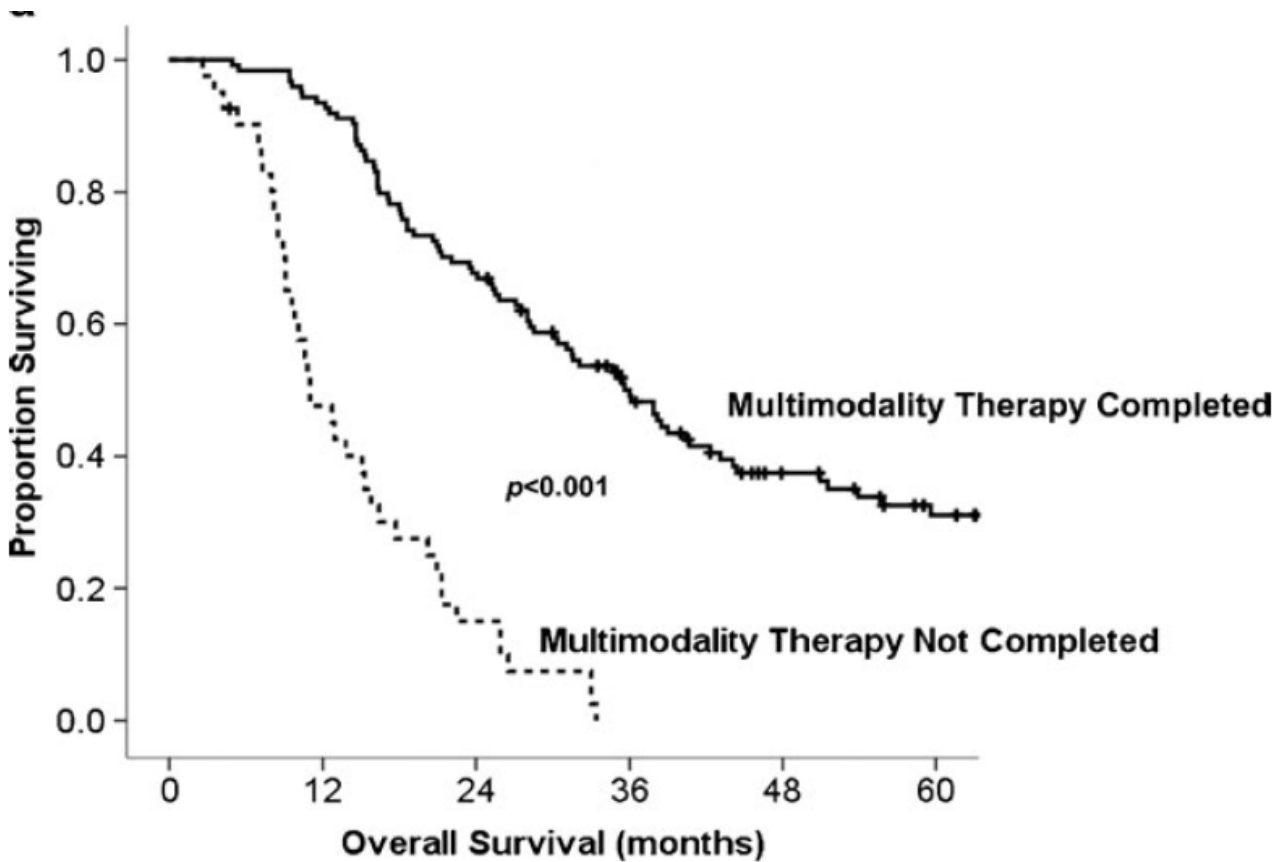
## ~~Only Real Chance for Cure~~

- Radiographically occult metastatic disease in >90% resectable pancreatic cancer
- Consensus that multimodality therapy is better than surgery alone
- “How can we get this patient all the treatments that work” not  
“How can I get this patient surgery”

# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure - other therapies are largely ineffective
- Treatment sequencing does not matter – can give adjuvant therapy
- Window of resectability may be lost
- Other therapies largely ineffective

# ~~Treatment Sequencing Does Not Matter~~





# ~~Treatment Sequencing Does Not Matter~~

- Surgery has toxicity

---

**How many pts actually receive all planned adjuvant therapy?**

Simons, Cancer 2010 (SEER)	48%
Corsini, JCO 2008 (Mayo)	60%
Herman, JCO 2008 (Hopkins)	44%
Merchant, JACS 2009 (Vanderbilt)	50%
Winter, Ann Surg Onc, 2012 (MSKCC)	60%
Conroy, NEJM, 2018 (PRODIGE)	65%

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# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

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# Window of Resectability May Be Lost

VOLUME 26 • NUMBER 21 • JULY 20 2008

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

original reports

## Preoperative Chemoradiotherapy Versus Immediate Surgery for Resectable and Borderline Resectable Pancreatic Cancer: Results of the Dutch Randomized Phase III PREOPANC Trial

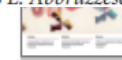
Eva Versteijne, MD<sup>1</sup>; Mustafa Suker, MD, PhD<sup>2</sup>; Karin Groothuis-Oudshoorn, MD, PhD<sup>3</sup>; Marc G. Besselink, MD, PhD<sup>4</sup>; Bert A. Bonsing, MD, PhD<sup>5</sup>; Jeroen J. G. Hoogkamp, MD, PhD<sup>6</sup>; Geert-Jan M. Creemers, MD, PhD<sup>7</sup>; Ronald M. van Dam, MD, PhD<sup>8</sup>; Jan Willem B. de Groot, MD, PhD<sup>11</sup>; Bas Groot Koerkamp, MD, PhD<sup>12</sup>; Jeanin E. van Hooft, MD, PhD<sup>13</sup>; Emile D. Kerver, MD<sup>14</sup>; Saskia J. de Wilt, MD, PhD<sup>15</sup>; Joost Nuyttens, MD, PhD<sup>17</sup>; Gabriel M.R.M. Paardekooper, MD, PhD<sup>18</sup>; Judith de Vos-Geelen, MD<sup>21</sup>; Johanna W. Wilmink, MD, PhD<sup>22</sup>; Casper H. van Eijck, MD, PhD<sup>2</sup>; and Geertjan van Tienhoven, MD, PhD<sup>23</sup>

THE LANCET  
Gastroenterology & Hepatology

Volume 3, Issue 6, June 2018, Pages 413-423

## Preoperative Gemcitabine and Cisplatin Followed by Gemcitabine-Based Chemoradiation for Resectable Adenocarcinoma of the Pancreatic Head

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Articles

## Safety and efficacy of preoperative or postoperative chemotherapy for resectable pancreatic adenocarcinoma (PACT-15): a randomised, open-label, phase 2–3 trial

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# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure - other therapies are largely ineffective
- Treatment sequencing does not matter – can give adjuvant therapy and stent not an issue
- Window of resectability may be lost
- Other therapies largely ineffective

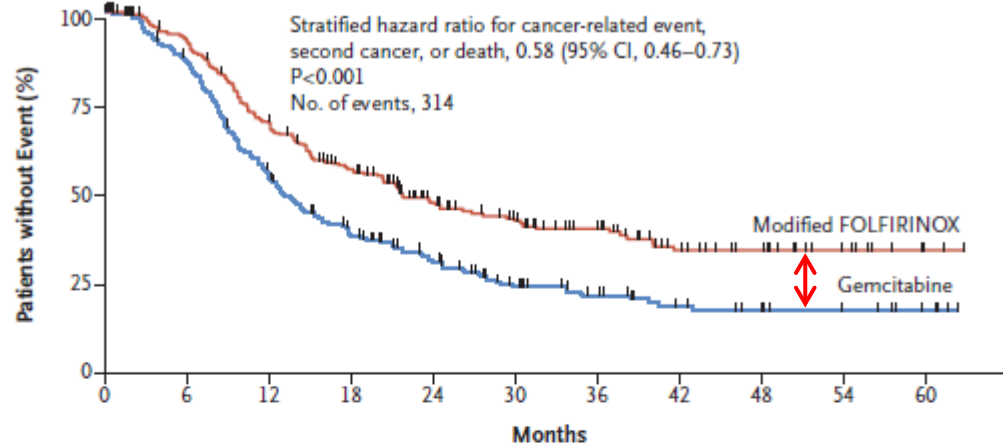
Table 1

Overall survival data from older prospective, randomized trials of adjuvant therapy in resected pancreas cancer

Trial	N	Randomization	Overall Survival		Classification
			(mo)	P	
CONKO-001	368	Chemotherapy (gemcitabine) vs observation	22.1 vs 20.1 Long follow-up: 22.8 vs 20.2	.06 .01	1a
GITSG	43	Observation or radiation/ bolus 5-FU	20 vs 11	Not reported	1a
ESPAC-1	541	Chemoradiation (5-FU, 20 Gy) vs no chemoradiation	15.5 vs 16.1	.24	1a
		Chemotherapy vs observation	19.7 vs 14.0	.0005	
EORTC 40,891	114	Chemoradiation (5-FU 1 40 Gy EBRT) vs observation	17.1 vs 12.6	.99	1a
RTOG 9704	451	Gemcitabine and 5-FU 1 50.4 Gy EBRT vs 5-FU 1 50.4 Gy EBRT	20.5 vs 16.9	.05	1a

# FOLFIRINOX or Gemcitabine as Adjuvant Therapy for Pancreatic Cancer

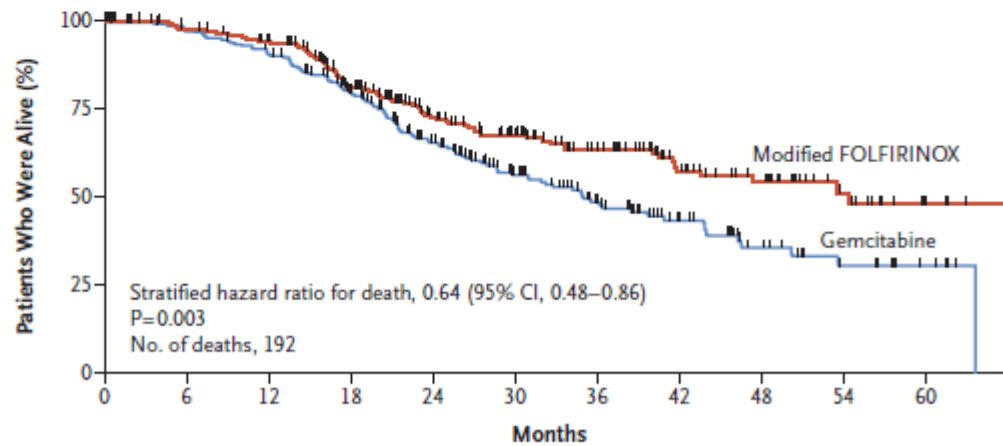
## A Disease-free Survival



**No. at Risk**  
Modified FOLFIRINOX  
Gemcitabine

247	210	156	118	80	60	46	29	21	11	2
246	205	127	85	59	34	24	15	10	7	3

## B Overall Survival



**No. at Risk**  
Modified FOLFIRINOX  
Gemcitabine

247	223	210	165	119	91	68	46	32	16	4
246	233	215	171	120	81	55	33	18	9	4

Subgroup	Modified FOLFIRINOX (N=247) no. of events/total no. of patients	Gemcitabine (N=246) no. of events/total no. of patients	Unstratified Hazard Ratio (95% CI)	P Value
Sex				0.42
Male	78/142	96/135	0.68 (0.50-0.92)	
Female	56/105	84/111	0.56 (0.40-0.78)	
Age				0.88
<65 yr	83/152	103/140	0.61 (0.46-0.82)	
≥65 yr	51/95	77/106	0.63 (0.44-0.90)	
WHO performance-status score				0.10
0	61/122	96/127	0.51 (0.37-0.71)	
1	73/123	80/115	0.77 (0.56-1.06)	
Diabetes				0.59
No	100/183	123/177	0.66 (0.50-0.86)	
Yes	33/62	52/64	0.55 (0.35-0.85)	
Tumor location				0.89
Head	105/193	129/175	0.62 (0.48-0.80)	
Other	28/53	47/67	0.62 (0.39-0.98)	
Tumor grade				0.69
Well differentiated	32/70	58/79	0.52 (0.34-0.81)	
Moderately differentiated	75/124	91/125	0.69 (0.51-0.93)	
Poorly differentiated or undifferentiated	21/35	23/29	0.62 (0.34-1.13)	
Primary tumor status				0.82
pT1 or pT2	16/31	16/25	0.67 (0.34-1.34)	
pT3 or pT4	118/216	164/221	0.62 (0.49-0.79)	
Nodal status				0.10
pN0	25/55	33/61	0.89 (0.53-1.49)	
pN1	109/192	147/185	0.54 (0.42-0.69)	
Tumor stage				0.31
IA or IB	3/12	8/14	0.36 (0.10-1.38)	
IIA or IIB	127/226	167/226	0.64 (0.50-0.80)	
III or IV	4/9	5/6	0.07 (0.01-0.61)	
Status of surgical margins				0.15
R0	73/148	88/134	0.72 (0.53-0.98)	
R1	61/99	92/112	0.52 (0.37-0.72)	
Superior-mesenteric-vein resection				0.29
No	122/228	161/221	0.61 (0.48-0.77)	
Yes	12/19	19/25	0.92 (0.44-1.91)	
Portal-vein resection				0.86
No	112/215	145/204	0.62 (0.49-0.80)	
Yes	22/32	35/42	0.64 (0.37-1.11)	
Postoperative CA 19-9 level				0.85
≤90 U/ml	123/231	166/226	0.61 (0.48-0.77)	
>90 U/ml	11/16	14/20	0.74 (0.33-1.64)	
Early stopping of treatment				0.49
No	83/158	137/192	0.56 (0.42-0.73)	
Yes	51/80	42/51	0.53 (0.35-0.81)	
Overall	134/247	180/246	0.62 (0.49-0.77)	

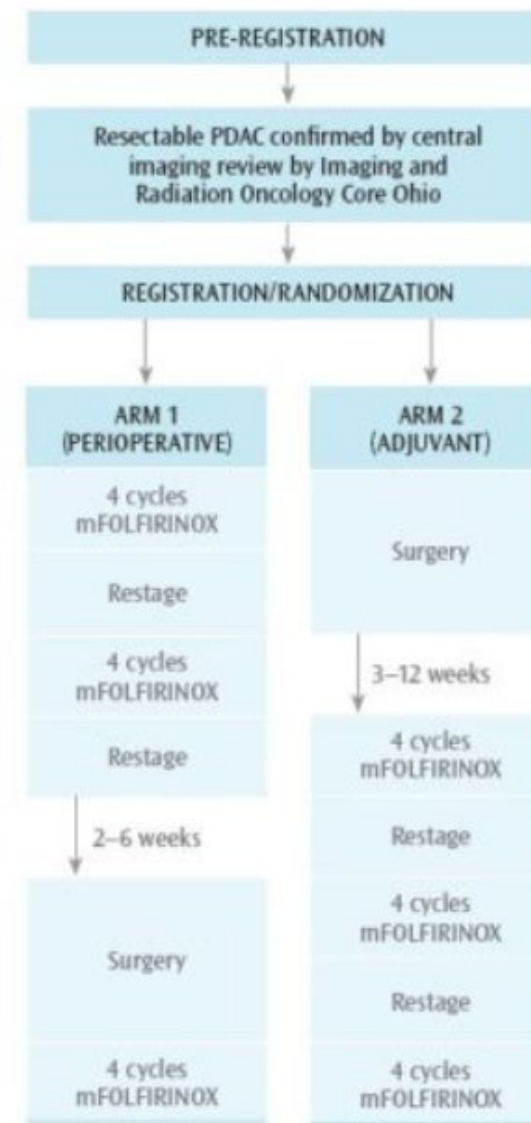
# Neoadjuvant Approach

- Provides early treatment of micrometastatic disease  
(at least 90% of “resectable” patients)
- Patients with rapidly progressive disease will not be subjected to non-therapeutic operations
- Allows for assessment of response – tailoring systemic therapy, trial options
- Logical strategy for the high incidence of positive margins. (Katz JOGS 2012)
- Delayed recovery does not delay systemic treatment
- Tissue retrieval pre/post treatment for correlative studies

# ALLIANCE A021806

- So let's answer the question!
  - Resectable cancer – randomized AT DIAGNOSIS
  - ECOG 0/1
  - Central rads review
  - Outcomes:
    - ⦿ 2 year overall survival
    - ⦿ DFS
    - ⦿ Margin negative resection
  - Secondary analysis:
    - ⦿ Chemotherapy tolerance/completion

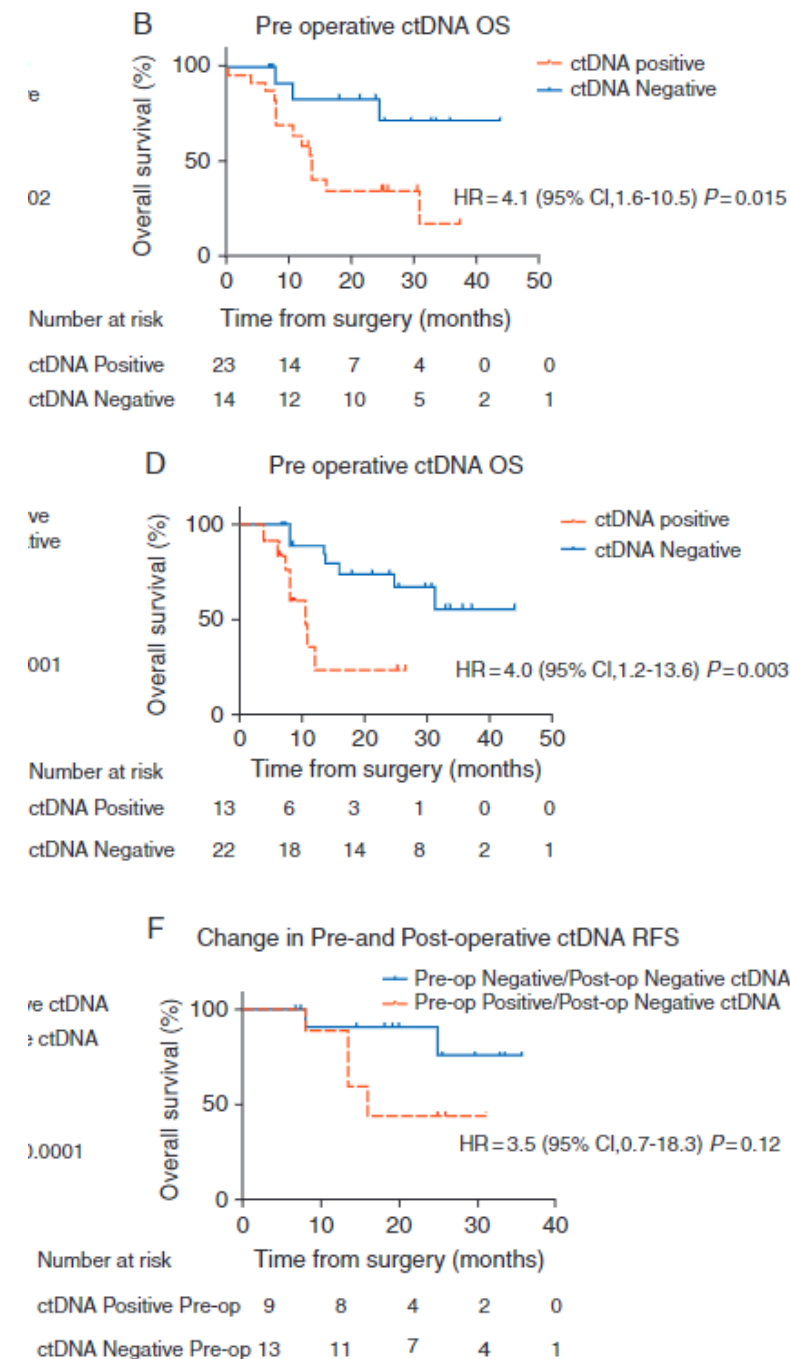
**FIGURE 1.**  
**SCHEMA FOR ALLIANCE A021806:**  
**A Phase III Trial of Perioperative**  
**Versus Adjuvant Chemotherapy**  
**for Resectable Pancreatic Cancer**





# The Future

- Tailoring treatment to tumor biology
  - Varied response to platinum chemotherapy vs gem-abraxane – how do we predict this?
  - Markers of response – PET imaging, ctDNA clearance, biochemical response, miRNA
  - Duration of systemic therapy – total neoadjuvant? Tailored adjuvant?
  - New therapies – RAS targeting, novel immunotherapy pathways



## Meet the Team



[ochsner.org](http://ochsner.org)



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